KBR:elb 01/26/01 3382-55827 148491.1

Express Mailing No. EL748698850US

## 1 U.S. PT /771371

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Andrew V. Kadatch

Art Unit:

Application No.

Filed:

For: QUANTIZATION LOOP WITH HEURISTIC

**APPROACH** 

Examiner:

Date: January 26, 2001

## PURSUANT TO 37 C.F.R. § 1.97(b)(3)

BOX PATENT APPLICATION COMMISSIONER FOR PATENTS Washington, DC 20231

Sir:

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicant respectfully requests that these documents be listed as references cited on the issued patent.

Respectfully submitted,

KLARQUIST SPARKMAN CAMPBELL LEIGH & WHINSTON, LLP

Bv

Kyle B. Rinehart

Registration No. 47,027

One World Trade Center, Suite 1600 121 S.W. Salmon Street Portland, Oregon 97204 Telephone: (503) 226-7391

Facsimile: (503) 228-9446 cc: Client (148491.1)

INFORMATION DISCLOSURE STATEMENT					Docket: 3382-55827		A	.pp:	2	
					/1 <b>1</b> 1	Applicant: Kadatch				371 371
BY APPLICANT					Filed:		Art Unit:		777	
U.S. PATENT DOCUMENTS								i co		
Init.*		]	Number	Date		Name	Class Su		Sub	Filed
		6,029,126		2/22/2000	Malv					
		5,742,735		4/21/1998	Eber					
		5,579,430		11/26/1996	Grill et al.					
		5,819,215		10/6/1998	Dobs				·	
		4,05	1,470	9/27/1977	Estel	oan et al.	1			
				FOREIGN	PATE	NT DOCUMENTS	1 .		<u> </u>	<u> </u>
		Number		Date		Country	Cl	ass	Sub	
							1			
				ОТН	ER DO	OCUMENTS			<u> </u>	<u> </u>
		Baron et al., "Coding the Audio Signal," Digital Image and Audio Communications, 1996, pp. 101-128.								
			Cheung et al., "A Comparison of Scalar Quantization Strategies for Noisy Data Channel Data							
			Transmission," IEEE Transactions on Communications, vol. 43, no. 2/3/4, pp. 738-42 (April 1995).							
			Crisafulli et al., "Adaptive Quantization: Solution via Nonadaptive Linear Control," IEEE Transactions							
			on Communications, vol. 41, pp. 741-48 (May 1993).							
EXAMI	NER	:				DATE			<del>.</del>	··
						nformance with MPEP considered. Send copy				

INFORMATION DISCLOSURE STA	Docket: 3382-55827	App:	PTO				
	Applicant: Kadatch		1137 6/01				
BY APPLICANT	Filed:	Art Unit:	09/7 09/7				
	OTHER D	OCUMENTS		j 🚆			
	Dalgic et al., "Characterization of Quality and Traffic for Various Video Encoding Schemes and Various Encoder Control Schemes," Technical Report No. CSL-TR-96-701 (August 1996).						
Gibson et al., Digital (	Gibson et al., <u>Digital Compression for Multimedia</u> , Chapter 4, "Quantization," pp. 113-138 (1998).						
Gibson et al., Digital Coding Standards," pp	Gibson et al., <u>Digital Compression for Multimedia</u> , Chapter 8, "Frequency Domain Speech and Audio Coding Standards," pp. 263-290 (1998).						
Gibson et al., Digital C	Gibson et al., Digital Compression for Multimedia, Chapter 11.4, "MPEG Audio," pp. 398-402 (1998).						
	ISO/IEC 13818-7, "Information Technology Generic Coding of Moving Pictures and Associated Audio Information, Part 7: Advanced Audio Coding (AAC)," pp. i-iv, 1-145, ISO/IEC (1997).						
Pictures and Associate	ISO/IEC 13818-7, Technical Corrigendum 1, "Information Technology Generic Coding of Moving Pictures and Associated Audio Information, Part 7: Advanced Audio Coding (AAC), Technical Corrigendum" pp. 1-22, ISO/IEC (1997).						
Discrete Wavelet Tran	Wu et al., "Entropy-Constrained Scalar Quantization and Minimum Entropy with Error Bound by Discrete Wavelet Transforms in Image Compression," IEEE Transactions on Signal Processing, vol. 48, no. 4, pp. 1133-43 (April 2000).						
	Naveen et al., "Subband Finite State Scalar Quantization," IEEE Transactions on Image Processing, vol. 5, no. 1, pp. 150-155 (January 1996).						
	Ortega et al., "Adaptive Scalar Quantization Without Side Information," IEEE Transactions on Image Processing, vol. 6, no. 5, pp. 665-676 (May 1997).						
EXAMINER:	DATE:						
*Examiner: Initial if considered, whether draw line through cite if not in conform							

INFORMATION DISCLOSURE STATEMENT	Docket: 3382-55827	App:	1 1				
BY APPLICANT	Applicant: Kadatch		113 713				
DI AFFLICANI	Filed:	Art Unit:	941				
OTHER D	OCUMENTS		ŗ				
Ratnakar et al., "RD-OPT: An Efficien	nt Algorithm for Optimizing DCT Quan	ntization Table	es," 11 pp.				
Sidiropoulos, "Optimal Adaptive Scala (1998).	Sidiropoulos, "Optimal Adaptive Scalar Quantization and Image Compression," ICIP '98, pp. 574-78 (1998).						
Sullivan, "Optimal Entropy Constraine Variables," ICASSP '94, pp. V-265 - V	Sullivan, "Optimal Entropy Constrained Scalar Quantization for Exponential and Laplacian Random Variables," ICASSP '94, pp. V-265 - V-268 (1994).						
39, no. 4, pp. 1180-94 (July 1993).	Trushkin, "On the Design on an Optimal Quantizer," IEEE Transactions on Information Theory, vol. 39, no. 4, pp. 1180-94 (July 1993).						
Wong, "Progressively Adaptive Scalar	r Quantization," ICIP '96, pp. 357-60 (1	996).					
	Wu et al., "Quantizer Monotonicities and Globally Optimally Scalar Quantizer Design," IEEE Transactions on Information Theory, vol. 39, no. 3, pp. 1049-53 (May 1993).						
EXAMINER:	DATE:						
*Examiner: Initial if considered, whether or not in coderaw line through cite if not in conformance and not							